

U.S. PTO

10-22-82 [REDACTED] (pro #)

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PTO/SB/16 (8-00)

Approved for use through 10/31/2002. OMB 0651-0032

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**PROVISIONAL APPLICATION FOR PATENT COVER SHEET**

This is a request for filing a **PROVISIONAL APPLICATION FOR PATENT** under 37 CFR 1.53(c).

INVENTOR(S)				
Given Name (first and middle [if any])	Family Name or Surname	Residence (City and either State or Foreign Country)		
David Charles Eileen T. Juan J.	Schwartz Dimalanta de Pablo	3110 Oxford Road, Madison WI 53705 1323 Spring Street, #2, Madison, WI 53706 2514 Chamberlain Avenue, Madison WI 53705		
<input type="checkbox"/> Additional inventors are being named on the ___ separately numbered sheets attached hereto				
<b>TITLE OF THE INVENTION (280 characters max)</b>				
MICRO FLUIDIC SYSTEM FOR SINGLE MOLECULE IMAGING				
Direct all correspondence to:		<b>CORRESPONDENCE ADDRESS</b>		
<input checked="" type="checkbox"/> Customer Number	27114	→	Place Customer Number Bar Code Label here	
OR				
<input type="checkbox"/> Firm or Individual Name				
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City		State	ZIP	
Country		Telephone	Fax	
<b>ENCLOSED APPLICATION PARTS (check all that apply)</b>				
<input checked="" type="checkbox"/> Specification	Number of Pages	19	<input type="checkbox"/> CD(s), Number	
<input checked="" type="checkbox"/> Drawing(s)	Number of Sheets	2	<input checked="" type="checkbox"/> Other (specify)	Return Postcard
<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76				
<b>METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT</b>				
<input type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27.			FILING FEE AMOUNT (\$)
<input type="checkbox"/>	A check or money order is enclosed to cover the filing fees			
<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number:			\$160.00
<input type="checkbox"/>	Payment by credit card. Form PTO-2038 is attached.			
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.				
<input type="checkbox"/> No.				
<input checked="" type="checkbox"/> Yes,	the name of the U.S. Government agency and the Government contract number are: _____			
DOE DE-FG-02-99ER62830 and NIH HG00225				

*Respectfully submitted,*

**SIGNATURE**

TYPED or PRINTED NAME Keith M. Baxter

TELEPHONE 414.277.5719

Date 10/18/02

REGISTRATION NO.  
(if appropriate)

Docket Number:

31,233

960296.99047

**USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT**

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

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PTO/SB/17 (11-01)  
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 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 160.00

## Complete if Known

Application Number 60/  
 Filing Date Herewith  
 First Named Inventor David C. Schwartz  
 Examiner Name  
 Group Art Unit  
 Attorney Docket No. 960296.99047

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 17-0055  
 Deposit Account Name Quarles & Brady LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 740	2001 370	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 510	2003 255	Plant filing fee	
1004 740	2004 370	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	160.00

SUBTOTAL (1) (\$ 160.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims -20\*\* =  X  = 0.00  
 Independent Claims -3\*\*\* =  X  = 0.00  
 Multiple Dependent  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 84	2201 42	Independent claims in excess of 3	
1203 280	2203 140	Multiple dependent claim, if not paid	
1204 84	2204 42	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2050 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 400	2252 200	Extension for reply within second month	
1253 920	2253 460	Extension for reply within third month	
1254 1,440	2254 720	Extension for reply within fourth month	
1255 1,960	2255 980	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,280	2453 640	Petition to revive - unintentional	
1501 1,280	2501 640	Utility issue fee (or reissue)	
1502 460	2502 230	Design issue fee	
1503 620	2503 310	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 740	2809 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 740	2810 370	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 740	2801 370	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00

## SUBMITTED BY

Name (Print/Type) Keith M. Baxter

Signature

Registration No. (Attorney/Agent) 31,233

## Complete (if applicable)

Telephone 414.277.5719

Date Oct. 18, 2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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